

17 AUG 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: August 17, 2006

ATTY DOCKET NO.  
2006\_1253ASERIAL NO.  
NEW 10/589814APPLICANT  
Masahiro TERADA et al.FILING DATE  
August 17, 2006

GROUP 1624

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/B.C./	AA	6,340,753	1/2002	Maruoka			Corresponds to AE
/B.C./	AB	4,833,245	5/1989	Bruderer et al.			Corresponds to AF
	AC						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
** /B.C./	AD	2000-198774	7/2000	JP			Abstract
** /B.C./	AE	2001-048866	2/2001	JP			Abstract
** /B.C./	AF	61-161266	7/1986	JP			X
	AG						
	AH						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

/B.C./	AI	H. Y. Aboul-Enein et al., "Synthesis and Biological Activity of Dibenz[c,e]Azepines", Drug Design and Delivery, Vol. 4, No. 1, pp. 27-33, 1989. *****
/B.C./	AJ	R. Chinchilla et al., "Enantiomerically Pure Guanidine-Catalysed Asymmetric Nitroaldol Reaction", Tetrahedron: Asymmetry, Vol. 5, No. 7, pp. 1393-1402, 1994. *****
/B.C./	AK	M. T. Allingham et al., "Synthesis and Applications of C <sub>2</sub> -Symmetric Guanidine Bases", Tetrahedron Letters, Vol. 44, No. 48, pp. 8677-8680, 2003. *****
/B.C./	AL	T. Ooi et al., "Design of N-Spiro C <sub>2</sub> -Symmetric Chiral Quaternary Ammonium Bromides as Novel Chiral Phase-Transfer Catalysts: Synthesis and Application to Practical Asymmetric Synthesis of $\alpha$ -Amino Acids", JACS Articles, No. 125, No. 17, pp. 5139-5151, 2003. *****
/B.C./	AM	T. Ooi et al., "Practical Catalytic Enantioselective Synthesis of $\alpha,\alpha$ -Dialkyl- $\alpha$ -Amino Acids by Chiral Phase-Transfer Catalysis", JACS, Vol. 122, No. 21, pp. 5228-5229, 2000. *****
/B.C./	AN	T. Ooi et al., "Molecular Design of a C <sub>2</sub> -Symmetric Chiral Phase-Transfer Catalyst for Practical Asymmetric Synthesis of $\alpha$ -Amino Acids", JACS, Vol. 121, No. 27, pp. 6519-6520, 1999. *****

EXAMINER

/Brenda Coleman/

DATE CONSIDERED

12/28/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* structures only